



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: G. PAYNE et al.

Confirmation No.:

Serial No.: 09/763,324

Art Unit: 1614

Filed: June 18, 2001

Examiner: C. Delacroix Muirhei

For: MODIFIED CHITOSAN  
POLYMERS AND ENZYMATIC  
METHODS FOR THE  
PRODUCTION THEREOF

Attorney Docket No: 8399-007-999

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**INFORMATION DISCLOSURE STATEMENT**

**BOX AF**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(h), a list of references is submitted on the enclosed substitute Form PTO-1449 entitled "List of References Cited by Applicant", which lists 4 references in reverse chronological order, copies of which are enclosed for the Examiner's convenience.

These references were cited in the European Search Report of a corresponding European application that was mailed to Applicants on December 6, 2002. A copy of the Search Report is also enclosed. It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the substitute Form PTO-1449.

A fee of \$180.00 is believed to be due for this submission pursuant to § 1.97(d), as the references submitted herein were cited in a communication from a foreign patent office in a foreign counterpart application within the past three months, but after a final action under § 1.113. Should any additional fee be required, however, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: March 6, 2003

  
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Enclosures